

ATTORNEY DOCKET NUMBER
064441.0207

PATENT
09/784,800

1



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

RECEIVED
AUG 15 2001
TECHNOLOGY CENTER 2800
#3
(FR)

In re Application of: Joseph S. Gordon, et al.
Serial No.: 09/784,800 ✓
Filing Date: February 15, 2001
Title: APPARATUS AND METHOD FOR OPTIMIZING A PELLICLE
FOR OFF-AXIS TRANSMISSION OF LIGHT

Office of Initial Patent Examination's Customer
Service Center
Assistant Commissioner of Patents
Washington, D.C. 20231

I hereby certify that this
correspondence is being deposited
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addressed to: Office of Initial Patent
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Center, Assistant Commissioner of
Patents, Washington, D.C. 20231, on
the date shown below.

Katie Carnes
Name *Katie Carnes*
April 9, 2001
Date

*Done K. letter
8/7/01*

Dear Sir:

REQUEST FOR CORRECTED OFFICIAL FILING RECEIPT

Attached is a copy of the Official Filing Receipt received from the U.S. Patent and Trademark Office in the above-identified patent application for which issuance of a corrected filing receipt is respectfully requested.

Pursuant to 37 C.F.R. § 1.213 (a), at the time the application was filed Applicants requested that this application not be published. The Official Filing Receipt does not reflect this request. For the Non-Publication Request, please delete "No", and insert **-YES-**. Additionally, please delete the Projected Publication Date of "08/15/2002." A copy of the transmittal form

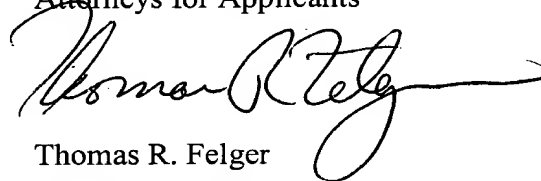
which was filed with the application is included and reflects Applicants' preference for non-publication. A corrected filing receipt is respectfully requested.

While it is believed that this is not an error by the Applicants, the Commissioner is hereby authorized to charge any amount required by this paper or credit any overpayment to Deposit Account No. 02-0384 of Baker Botts L.L.P.

Respectfully submitted this 5th day of April, 2001.

BAKER BOTTS L.L.P.

Attorneys for Applicants

A handwritten signature in dark ink, appearing to read 'Thomas R. Felger', with a long, sweeping horizontal stroke extending to the right.

Thomas R. Felger

Reg. No. 28,842

2001 Ross Avenue, Suite 600
Dallas, Texas 75201-2980
(512) 331-2599
(sds)

ATTORNEY DOCKET NO.
064441.0207



RECEIVED
PATENT APPLICATION
TECHNOLOGY CENTER 2800
APR 15 2001

APPLICATION FOR U.S. PATENT UNDER 37 C.F.R. 1.53(b)
TRANSMITTAL FORM

Box Patent Application
ASSISTANT COMMISSIONER FOR PATENTS
Washington, D.C. 20231

Sir:

Transmitted herewith for filing is the patent application
of:

Inventor(s): Joseph S. Gordon, Gregory P. Hughes,
Franklin D. Kalk and Hakki U. Alpay

Entitled: Apparatus and Method for Optimizing a Pellicle for
Off-Axis Transmission of Light

 X Pursuant to 37 C.F.R. § 1.213(a), Applicant(s)
respectfully requests that the above-identified patent
application not be published under 37 U.S.C. § 122(b).
Applicant(s) hereby certifies that the invention disclosed in
the above-identified application has not been and will not be
the subject of an application filed in another country, or
under a multilateral international agreement, that requires
publication at eighteen months after filing.

Enclosed are: X Specification(26 pages)
 X Drawing(s) (3 Sheets Formal)

 X Signed combined Declaration and Power of Attorney.

 X Information Disclosure Statement (IDS) PTO-1449 with
copies of references cited.

 X Certificate of Mailing

 X Return Receipt Postcard

 X An Assignment of the invention is attached. A cover
sheet in compliance with 37 C.F.R. §§ 3.28 and 3.31 is
included with the Assignment recordation fee of \$40.00
pursuant to 37 C.F.R. § 1.21(h).

 X 2 pages Patent Application Bibliographic Data sheet
(PrintEFS Version 1.0.1)

 X The accompanying application claims the benefit of U.S.
provisional patent application Serial No. 60/182,824
filed February 16, 2000.

Attorney's Docket:
066892.0107
Page 2

PATENT APPLICATION

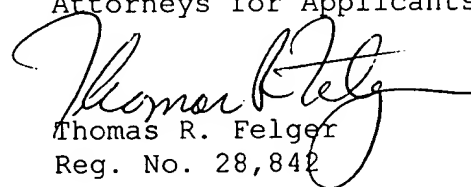
Applicant is Large Entity.

FEE CALCULATION					FEE
	Number		Number Extra	Rate	Basic Fee
					\$ 710.00
Total Claims:	30	-20 =	10	X \$18 =	\$ 180.00
Independent Claims	4	-3 =	1	X \$80 =	\$ 80.00
TOTAL FILING FEE =					\$970.00

Enclosed is a check in the amount of \$970.00 to satisfy filing fee requirements under 37 C.F.R. § 1.16. Please charge any additional fees or credit any overpayment to Deposit Account No. 02-0384 of BAKER BOTTS L.L.P. **A duplicate copy of this sheet is enclosed.**

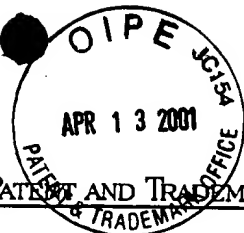
Respectfully submitted,

BAKER BOTTS L.L.P.
Attorneys for Applicants


Thomas R. Felger
Reg. No. 28,842

Date: February 15, 2001

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WASHINGTON, D.C. 20231
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APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO.	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/784,800	02/15/2001	2633	970	064441.0207	3	30	4

CONFIRMATION NO. 2724

FILING RECEIPT



OC000000005919489

Thomas R. Felger
Baker Botts L.L.P.
2001 Ross Avenue
Dallas, TX 75201-2980

Date Mailed: 03/30/2001

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the PTO processes the reply to the Notice, the PTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Joseph S. Gordon, Gardiner, NY;
Gregory P. Hughes, Austin, TX;
Franklin D. Kalk, Austin, TX;
Hakki U. Alpay, Poughquag, NY;

Continuing Data as Claimed by Applicant

THIS APPLN CLAIMS BENEFIT OF 60/182,824 02/16/2000

Foreign Applications

If Required, Foreign Filing License Granted 03/29/2001

Projected Publication Date: ~~08/15/2002~~

Non-Publication Request: No YES

Early Publication Request: No

Title

Apparatus and method for optimizing a pellicle for off-axis transmission of light

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Preliminary Class

359

Data entry by : KELECHA, ASEGEDECH

Team : OIPE

Date: 03/30/2001



LICENSE FOR FOREIGN FILING UNDER

Title 35, United States Code, Section 184

Under 37, Code of Federal Regulations, 5.11 & 5.15

GRANTED

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

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NOT GRANTED

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15 (b).

PLEASE NOTE the following information about the Filing Receipt:

- The articles such as "a," "an" and "the" are not included as the first words in the title of an application. They are considered to be unnecessary to the understanding of the title.
- The words "new," "improved," "improvements in" or "relating to" are not included as first words in the title of an application because a patent application, by nature, is a new idea or improvement.
- The title may be truncated if it consists of more than 600 characters (letters and spaces combined).
- The docket number allows a maximum of 25 characters.
- If your application was submitted under 37 CFR 1.10, your filing date should be the "date in" found on the Express Mail label. If there is a discrepancy, you should submit a request for a corrected Filing Receipt along with a copy of the Express Mail label showing the "date in."
- The title is recorded in sentence case.

Any corrections that may need to be done to your Filing Receipt should be directed to:

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Washington, DC 20231



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WASHINGTON, D.C. 20231
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Bib Data Sheet

CONFIRMATION NO. 2724

SERIAL NUMBER 09/784,800	FILING DATE 02/15/2001 RULE	CLASS 359	GROUP ART UNIT 2872	ATTORNEY DOCKET NO. 064441.0207
APPLICANTS Joseph S. Gordon, Gardiner, NY; Gregory P. Hughes, Austin, TX; Franklin D. Kalk, Austin, TX; Hakki U. Alpay, Poughquag, NY;				
** CONTINUING DATA ***** THIS APPLN CLAIMS BENEFIT OF 60/182,824 02/16/2000 <i>Y/pe</i>				
** FOREIGN APPLICATIONS ***** <i>N/pe</i>				
IF REQUIRED, FOREIGN FILING LICENSE GRANTED ** 03/29/2001				
Foreign Priority claimed <input type="checkbox"/> yes <input checked="" type="checkbox"/> no 35 USC 119 (a-d) conditions met <input type="checkbox"/> yes <input checked="" type="checkbox"/> no <input type="checkbox"/> Met after Allowance Verified and Acknowledged <i>[Signature]</i> Examiner's Signature Initials		STATE OR COUNTRY NY	SHEETS DRAWING 3	TOTAL CLAIMS 30
				INDEPENDENT CLAIMS 4
ADDRESS Thomas R. Felger Baker Botts L.L.P. 2001 Ross Avenue Dallas, TX 75201-2980				
TITLE Apparatus and method for optimizing a pellicle for off-axis transmission of light				
FILING FEE RECEIVED 970	FEES: Authority has been given in Paper No. _____ to charge/credit DEPOSIT ACCOUNT No. _____ for following:		<input type="checkbox"/> All Fees <input type="checkbox"/> 1.16 Fees (Filing) <input type="checkbox"/> 1.17 Fees (Processing Ext. of time) <input type="checkbox"/> 1.18 Fees (Issue) <input type="checkbox"/> Other _____ <input type="checkbox"/> Credit	